

# CRITICAL ULTRASONICS NEAR THE SUPERFLUID TRANSITION : FINITE SIZE EFFECTS

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## Abstract

The suppression of order parameter fluctuations at the boundaries causes the ultrasonic attenuation near the superfluid transition to be lowered below the bulk value . We calculate explicitly the first deviation from the bulk value for temperatures above the lambda point . This deviation is significantly larger than for static quantities like the thermodynamic specific heat or other transport properties like the thermal conductivity . This makes ultrasonics a very effective probe for finite size effects .

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Critical phenomena in confined geometry has been attracting a fair amount of attention of late because of the progress on the experimental front[2 – 9] which is making it possible to check the predictions of finite size effects (FSE). A fair amount of this experimental effort has gone in studying the specific heat near the superfluid transition . With the bulk specific heat quite well understood and the existence of a sharp phase transition (apart from gravity rounding , which too can be removed by doing experiments in space) established , efforts have been made to study the FSE . It is expected that the FSE will round out the transition and hence the divergence at  $T = T_\lambda$  will be removed . The specific heat will be finite and the finite value will be a function of the confining length . We will keep in mind one of the favoured experimental geometries, where one takes two parallel plates separated by a distance  $L$  , much smaller than the linear dimensions of the plates . For  $L \gg \xi$  , the correlation length at a given temperature, usual thermodynamic result follows . It is when  $L \leq \xi$  , that FSE dominate . Finite size scaling suggests the existence of a scaling function , function of  $\xi/L$ - in terms of which the theory can be cast . The specific heat  $C(t, L)$  in finite geometry has the form  $C(t, L) \sim t^{-\alpha} g(t^{-\nu}/L) + Constant$  , where  $\xi \sim t^{-\nu}$  and  $t = (T - T_\lambda)/T_\lambda$  ,  $T_\lambda$  being the transition temperature . The function  $g$  has been calculated by various authors [10 – 12] . In what follows we propose a method of checking for FSE by studying a related dynamic property . This is the study of ultrasonic attenuation (UA) near  $T_\lambda$  at high frequencies . In fact, it is our contention that UA is one of the best ways of checking for FSE since the single surface effect alone can produce effects greater than 10% . The critical fluctuations relax according to  $\xi^{-z}$ , where  $z$  is the dynamic scaling exponent . For frequencies  $\omega$  such that  $\omega \gg \Gamma_0$  (occurs if one is close to the critical point) , the attenuation is independent of the correlation length. For a finite size system , we will show below that in this limit , the attenuation is determined by  $\omega$  and  $L$  alone . We provide explicit answers for frequencies  $\omega$  which are much smaller than a cutoff frequency  $\omega_0$  (of the order of a few GHz) and for plate separation  $L \geq (2\Gamma_0/\omega)^{\frac{1}{2}}$  for a given frequency  $\omega$  . Our prediction for the attenuation per wavelength as a function of  $\omega$  for the plate separation of  $2110\text{\AA}$  is shown in Fig(1) . It should be possible to check the prediction experimentally . In fact , this should be the simplest way of checking for FSE since the effect is quite pronounced (about 18% at  $L = 2110\text{\AA}$  and  $\omega = 10\text{MHz}$ ) for the available confining lengths as shown in Fig(1) . This occurs because the imaginary part of the specific heat

determines the UA and which is much smaller than the real part , but as we shall see below , **both are equally affected by the FSE** . Consequently, the relative effect is much larger for the imaginary part and this will show up in the UA .

The basis of our calculation is once more the Pippard Buckingham Fairbank (PBF) relation [13 – 14] which gives a successful account [15 – 17] of the critical ultrasonics in the situation where  $L \gg \xi$  . The PBF relation is obtained from general considerations of entropy clamping and yields for the sound velocity  $u(T, \omega)$

$$u(T, \omega) = u_0(T_0) + u_1 C_0 / C_P(T, \omega) \quad (1)$$

where  $u_0(T_0)$  is the sound speed at the transition point ( $T_0$  is the bulk  $T_\lambda$  for the infinite system , but is a L-dependent temperature for the finite size system) ,  $u_1$  and  $C_0$  are constants and  $C_P(T, \omega)$  is the specific heat at finite frequency .

For the bulk case ,  $C_P(T, \omega = 0)$  diverges at  $T = T_\lambda$  and  $C_P(T, \omega)$  is a homogeneous function of  $\omega$  and  $\xi$  . If the characteristic relaxation rate is  $\Gamma_0 \xi^{-z}$  then the scaling form of  $C_P^{bulk}$  is

$$C_P^{bulk}(T, \omega) = \xi^{\alpha/\nu} f\left(\frac{\omega}{\Gamma_0 \xi^{-z}}\right) \quad (2)$$

The exponent  $\alpha$  is very close to zero for the superfluid transition in  $^4He$  and for many practical purposes , it is possible to write

$$C_P^{bulk}(T, \omega) = C[\ln(\Lambda \xi) + f\left(\frac{\omega}{\Gamma_0 \xi^{-z}}\right)] \quad (3)$$

The function  $f(\omega/(\Gamma_0 \xi^{-z}))$  reduces to a constant for  $\omega = 0$  and tends to  $-\ln(\omega/\Gamma_0)^{1/z} \xi$  for  $\omega \gg \Gamma_0 \xi^{-z}$  . A one loop calculation of the scaling function  $f(\Omega)$  where  $\Omega = \frac{\omega}{\Gamma_0 \xi^{-z}}$  , was carried out and led to a successful scaling theory of the attenuation in the bulk  $^4He$  near  $T_\lambda$  [15 – 17] .

We now need to discuss the effect of a confining geometry . At zero frequency , the specific heat is blunted due to the FSE and the usually divergent specific heat remains finite . The single loop calculation of the scaling function  $g(\xi/L)$  discussed before gives a very reasonable account of the recent specific heat data by Mehta and Gasparini [2] . One of the most important feature of the scaling function is the low  $\xi/L$  limit (experimentally

most easily accessible) is the first departure from the thermodynamic limit - the magnitude of this departure  $\Delta C$  has to be proportional to the surface (A) to volume (V) ratio and hence from purely dimensional arguments , the correction can be written as

$$\Delta C = C(\xi, L) - C_\infty(\xi) = -aCA\frac{\xi}{V} \quad (4)$$

where  $a$  is a number of  $O(1)$  , that can be obtained from the function  $g(\xi/L)$  , and  $C$  is the dimensional constant defined in eqn(3) . The value of  $a$  as inferred from Scmolke et al. [11] is 1.4. The agreement of this departure with the measured departure of Mehta and Gasparini is impressive .

In our present concern we need the three variable function  $C(\xi, L, \omega)$  , whose two limits  $C(\xi, \omega)$  and  $C(\xi, L)$  are already well known . We will characterize  $C(\xi, L, \omega)$  by its first departure from the infinite volume limit  $C(\xi, \omega)$  and write the generalization of eqn(4) as

$$\Delta C(\xi, L, \omega) = C(\xi, L, \omega) - C(\xi, \omega) = -a(\xi, \omega)C(\xi)A/V \quad (5)$$

where  $a(\xi, \omega)$  is a scaling function , whose zero frequency limit is  $a\xi$  (see Eqn(4)) and whose general form will be presented below . As soon as we start discussing the scaling function for  $C(\xi, L, \omega)$  we need to worry about what sets the scale for  $\omega$  . As we have discussed above , this has to be the rate of decay of fluctuations  $\Gamma(\xi)$ . In the finite geometry that we are discussing now , the scale for decay of fluctuations will depend on  $L$  as well . In discussing the correction depicted in Eqn(5) , it is obvious that this fine point need not be discussed as this correction is already  $O(\frac{1}{L})$  . For He (superfluid transition) , there is in someways an additional simplifying feature . For the order parameter decay rate the non-linear effect of fluctuations becomes significant , only very close to the critical point and for all practical purposes , the relaxation rate can be taken to be at its non critical background value .

The complex order parameter field  $\psi_i(x) i = 1, 2$  will be governed by the Langevin equation

$$\dot{\psi}_i = -\Gamma_0 \frac{\delta F}{\delta \psi_i} + N_i \quad (6)$$

where

$$F = \int d^D x [\frac{m^2}{2} \psi^2 + \frac{1}{2} (\nabla \psi)^2 + \frac{\lambda}{4} (\psi^2)^2] \quad (7)$$

and  $N$  is a Gaussian white noise . For reasons stated above we choose to drop the reversible term (the Josephson equation for the phase of the order parameter) . The parameter  $m^2$  is proportional to  $T - T_\lambda$ , where  $T_\lambda$  is the bulk transition temperature . The system is confined in one of the  $D$  directions . We call that the  $z$ -direction . It is convenient to work with the fourier transform in  $D - 1$  directions and the fourier series (Dirichlet boundary conditions at  $z = 0$  and  $z = L$  suppressing the fluctuations) in the  $z$  direction . The expansion of the time-dependent order parameter field is

$$\psi_i(\vec{r}, t) = \sum_n \psi_i(n, K, t) \exp^{i\vec{K} \cdot \vec{R}} \sin\left(\frac{n\pi z}{L}\right) \quad (8)$$

The equation of motion for  $\psi_i(n, K, t)$  is

$$\dot{\psi}_i(n, K, t) = -\Gamma_0(m^2 + K^2 + \frac{n^2\pi^2}{L^2})\psi_i(n, K, t) + N_i + O(\psi^3) \quad (9)$$

In what follows , we will assume that all static correlations have been accounted for and  $m^2 = \xi^{-2}$  . The specific heat is obtained as the response function corresponding to the time dependent correlation function

$$D(\xi, L, t_{12}) = \int \int \int dz_1 dz_2 d^D R_{12} < \psi^2(\vec{R}_1, z_1, t_1) \psi^2(\vec{R}_2, z_2, t_2) > \quad (10)$$

with  $D(\xi, L, \omega) = 2 \frac{ImC(\xi, L, \omega)}{\omega}$  according to fluctuation dissipation theorem , straightforward algebra leads to (two term accuracy ,  $L \rightarrow \infty$  limit and the first correction)

$$\begin{aligned} C(\xi, L, \omega) = & \int \frac{d^D p}{(2\pi)^D} \frac{1}{(p^2 + m^2)} \frac{1}{(-\frac{i\omega}{2\Gamma_0} + p^2 + m^2)} \\ & - \frac{1}{2L} \int \frac{d^{D-1} p}{(2\pi)^{D-1}} \frac{1}{(p^2 + m^2)} \frac{1}{(-\frac{i\omega}{2\Gamma_0} + p^2 + m^2)} \end{aligned} \quad (11)$$

We work to logarithmic accuracy and hence evaluate the integrals at  $D = 4$  (proper exponentiation can be undertaken by working to two loop order , the details of which will be published elsewhere) to get the functions  $f(\Omega)$  and  $a(\Omega)$  introduced in Eqs(3) and (5) . Note that since we are taking the logarithmic divergence for the bulk specific heat , the  $C(\xi)$  in Eqns(4) and (5) reduces the constant  $C$  of Eqn(3) . The function  $f(\Omega)$  and  $a(\Omega)$  are

$$f(\Omega) = \frac{1}{2} \left( \frac{1}{-i\Omega} - 1 \right) \ln(1 - i\Omega) \quad (12)$$

$$a(\Omega) = \frac{\pi}{2} \frac{1}{-i\Omega} [\sqrt{1-i\Omega} - 1] \quad (13)$$

leading to

$$\begin{aligned} C(\xi, L, \omega) &= C_0 \left\{ \ln \frac{\Lambda}{m} - \frac{1}{4} \ln(1 + \Omega^2) - \frac{1}{2\Omega} \tan^{-1}(\Omega) + i \left[ \frac{1}{2} \tan^{-1}(\Omega) \right. \right. \\ &\quad \left. \left. - \frac{1}{4\Omega} \ln(1 + \Omega^2) \right] - \frac{\pi}{mL\Omega} (1 + \Omega^2)^{\frac{1}{4}} \sin\left(\frac{\tan^{-1}\Omega}{2}\right) - \frac{i\pi}{mL\Omega} \right. \\ &\quad \left. [(1 + \Omega^2)^{\frac{1}{4}} \cos\left(\frac{\tan^{-1}\Omega}{2}\right) - 1] \right\} \\ &= C_R + iC_I \end{aligned} \quad (14)$$

where  $C_R$  and  $C_I$  are the real and imaginary parts of the specific heat. Considering the zero frequency limit we see that  $C_R = C_0[\ln \Lambda/m - \pi/2mL]$  leading to  $a = \pi/2$  in Eq. (4) which is to be compared with  $a \simeq 1.4$  obtained in [11].

We now return to Eqn(1), to find the attenuation and dispersion. The **attenuation per wavelength** is  $\frac{\alpha\lambda}{2\pi} = \frac{u_1 C_0 C_I}{u_0 (C_R^2 + C_I^2)}$  which leads to the frequency attenuation ( $\omega \gg 2\Gamma_0 m^2$ ) as

$$\frac{\alpha\lambda}{2\pi} = \frac{\pi u_1}{u_0} \frac{[1 - 2\sqrt{2}(\frac{2\Gamma_0}{\omega L^2})^{\frac{1}{2}}]}{[\ln(\frac{\omega_0}{\omega}) - \sqrt{2}\pi(\frac{2\Gamma_0}{\omega L^2})^{\frac{1}{2}}]^2 + \frac{\pi^2}{4}[1 - 2\sqrt{2}(\frac{2\Gamma_0}{\omega L^2})^{\frac{1}{2}}]^2} \quad (15)$$

This is the **saturation attenuation** per wavelength, which does not change as the temperature is lowered further, where  $\omega_0/2\pi = 30GHz$ ,  $\Gamma_0 = 1.2 \times 10^{-4} cm^2 sec^{-1}$ ,  $u_1/u_0 = 8/3 \times 10^{-2}$ .

For the plate separation of  $2110\text{\AA}$  of mehta and Gasparini, the reduction in the attenuation due to the quenching of fluctuations is about 18% at  $10MHz$  and increases to 45% at  $2.5MHz$ . This is a large effect compared to the 4% surface effects that show up in the static measurements. For the corresponding measurement of thermal conductivity near the superfluid transition Kahn and Ahlers [9] found that the deviation from the bulk is about 7% when the correlation length  $\xi$  equals the confining length  $L$  (in their case the radius of the pore). The surface effect for the ultrasonic measurement can easily amount to 30% which makes this an attractive system for a confrontation between theory and experiment. The FSE on the dispersion can be obtained from the real part of Eqn(1).

We note that the above is a one loop calculation in the critical region . The lack of crossover to the background in our treatment of the specific heat implies that we can consider frequency  $\omega$  which are much smaller than the cut-off frequency  $\omega_0$  . This is a restriction on the validity of the dashed curve shown in Fig(1) . The solid curve in addition is restricted to confining lengths which are not too small i.e.  $L \geq (2\Gamma_0/\omega)^{\frac{1}{2}}$  and in this regime the accuracy of the calculation is restricted by the loop order . This is not too severe a restriction as an accuracy of  $O(\epsilon)$  which our calculation entails, becomes an accuracy of  $O(\frac{\alpha}{\nu})$  when the combinatorial factors are included . Thus, in the above mentioned ranges of the parameters  $\omega$  and  $L$  , the dashed curve in Fig(1) should be an accurate prediction . It should be noted that contrary to the static specific heat or the thermal conductivity , the sound properties can only be probed in a real experiment . The final issue , then , is whether the effect can be observed in real a experiment . The critical ultrasonics near the superfluid transition has been studied more than two decades ago . The most accurate data of that period lies in the  $0.5MHz - 5MHz$  range . In this region the scatter in the data is about 15%. This is somewhat better than the borderline for detecting the suppression reported here . Considering the fact that developments in the experimental field would enable more accurate measurements at the present time , we believe this effect should be experimentally accessible .

The other sensitive part of an ultrasonic measurement is the low frequency end ( $\omega \ll 2\Gamma_0 m^2$ ) , where for the bulk substance the attenuation per wavelength is proportional to  $C_R^2 \Omega / 4$  . The relative correction for the FSE is  $1 - \frac{\pi}{2mL}$  , once again a larger effect than can be obtained in statics. For an easily realizable situation of  $ml \sim 8$  this gives a 20% reduction in the attenuation . The whole course of the attenuation function with its dependence on  $\omega$  and  $L$  is straightforward to obtain and will be exhibited elsewhere . Here we have reported the salient feature , which carry the most experimentally accessible signatures . We hope this will stimulate experimental activity in the field.

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### Figure caption

Fig.1. Saturation attenuation is plotted against frequency. The dashed curve shows the bulk ( $L \rightarrow \infty$ ) result whereas the solid curve shows the surface effect.

